

**RECEIVED  
CENTRAL FAX CENTER****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****JUN 20 2005**

Application Number : 09/630,563 Confirmation No. 9970  
Applicant : NAKAJIMA  
Filed : August 2, 2000  
Tech Center/AU : 3625  
Examiner : Pond, Robert M.  
Entitled : ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT  
APPARATUS, AND TERMINAL  
Attorney Reference : 007874/0271791  
Customer Number : 00909

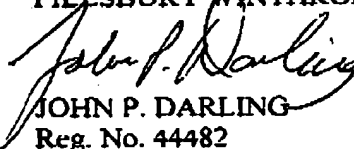
**CERTIFICATION OF FACSIMILE TRANSMISSION**  
**UNDER 37 C.F.R. §1.8**

I hereby certify that the following papers are being transmitted by facsimile to Examiner Robert M. Pond, at the U.S. Patent and Trademark Office at (703) 872-9306 on the date shown below:

- Fee Transmittal
- Request for Continued Examination with Extension of Time

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

  
JOHN P. DARLING

Reg. No. 44482

Tel. No. (703) 905-2045

Fax No. (703) 905-2500

**RECEIVED  
OICE/IAP**

**JUN 21 2005**

P.O. Box 10500  
McLean, VA 22102  
(703) 905-2000

Date: May 27, 2005

**TOTAL NUMBER OF PAGES IN FACSIMILE: 6**

30470739\_1 DOC

## **Addendum**

1. **PILLSBURY WINTHROP SHAW PITTMAN LLP**

PTO/SB/17 (12-04)

Approved for use through 07/31/2006 OMB 0551-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818) <b>FEE TRANSMITTAL for FY 2005</b>		<b>Complete if Known</b> Application Number <u>09/630,563</u> Filing Date <u>August 2, 2000</u> First Named Inventor <u>KEIICHI NAKAJIMA</u> Examiner Name <u>Pond, Robert M.</u> Art Unit <u>3625</u> Attorney Docket No. <u>007874-0271791</u>	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <u>910</u>			

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number <u>033975</u> Deposit Account Name <u>See 1 in Addendum</u>	
For the above-described deposit account, the Director is hereby authorized to (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	
WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	100	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description							Small Entity
							Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent							25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent							100
Multiple dependent claims							180
Total Claims - 35		Extra Claims		Fee (\$)		Fee Paid (\$)	
35 - 20 = 15		x		=		0.00	
HP = highest number of total claims paid for, if greater than 20							
Independent Claims		Extra Claims		Fee (\$)		Fee Paid (\$)	
12 - 12 = 0		x		=		0.00	
HP = highest number of independent claims paid for, if greater than 3							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof		Fee (\$)	
- 100 =		/ 50 =		(round up to a whole number) x		250.00	
							Fee Paid (\$)
4. OTHER FEE(S)							
Non-English Specification, 150 fee (no small entity discount)							Fee Paid (\$)
Other RCE - \$790; 1 Mo. Ext. of Time - \$120.00							910.00

<b>SUBMITTED BY</b>			
Signature	<i>John P. Darling</i>	Registration No. (Attorney/Agent)	44482
Name (Print/Type)	John P. Darling	Telephone	703905.2045
		Date	June 20, 2005

This collection of information is required by 37 CFR 1.134. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Addendum**

### **1. PILLSBURY WINTHROP SHAW PITTMAN LLP**

PTO/SB/30 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Request For Continued Examination (RCE) Transmittal</b>  Address to Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Application Number</b>	09/630,563
	<b>Filing Date</b>	August 2, 2000
	<b>First Named Inventor</b>	KEIICHI NAKAJIMA
	<b>Art Unit</b>	3625
	<b>Examiner Name</b>	Pond, Robert M.
	<b>Attorney Docket Number</b>	007874-0271791

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995 or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☒ Other Amendment filed May 27, 2005

b. ☐ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/ Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other \_\_\_\_\_
- Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.17(u) required.)

b. ☐ Other \_\_\_\_\_
- Fees**

The RCE fee under 37 CFR 1.17(u) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 033975 I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed.

c. ☐ Payment by credit card (Form PTO-2038 enclosed).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>	
Signature <u>John P. Durring</u>	Date <u>June 20, 2005</u>
Name (Print/Type) <u>John P. Durring</u>	Registration No. <u>44482</u>

<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Signature _____	Date _____
Name (Print/Type) _____	Date _____

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

06/21/2005 KBETENAI 00000047 033975 09630563

01 FC:1801 790.00 DA  
02 FC:1251 120.00 DA